CONNECTED SUM DECOMPOSITIONS OF HIGH-DIMENSIONAL MANIFOLDS

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ABSTRACT. The classical Kneser-Milnor theorem says that every closed oriented connected 3-dimensional manifold admits a unique connected sum decomposition into manifolds that cannot be decomposed any further. We discuss to what degree such decompositions exist in higher dimensions and we show that in many settings uniqueness fails in higher dimensions.

1. Introduction

Consider the set $\mathcal{M}_n^{\text{Cat}}$ of n-dimensional, oriented Cat-isomorphism classes of Cat-manifolds, where Cat = Top, PL or Diff; unless explicitly stated, all manifolds are assumed non-empty, closed, connected and oriented. $\mathcal{M}_n^{\text{Cat}}$ forms a monoid under connected sum (see Section 2) as do its subsets

$$\mathcal{M}_{n}^{\text{Cat,sc}} = \{ M \in \mathcal{M}_{n}^{\text{Cat}} \mid M \text{ is simply connected} \}$$

and

$$\mathcal{M}_n^{\text{Cat,hc}} = \{ M \in \mathcal{M}_n^{\text{Cat}} \, | \, M \text{ is highly connected} \};$$

here an *n*-dimensional manifold M is called *highly connected* if $\pi_i(M) = 0$ for $i = 1, \ldots, \lfloor \frac{n}{2} \rfloor - 1$ }. Recall that the theorems of Radó [Rad26] and Moise [Mo52, Mo77] show $\mathcal{M}_n^{\text{Top}} = \mathcal{M}_n^{\text{PL}} = \mathcal{M}_n^{\text{Diff}}$ for $n \leq 3$, and by Cerf's work [Ce68, p. IX] that $\mathcal{M}_n^{\text{PL}} = \mathcal{M}_n^{\text{Diff}}$ for $n \leq 6$. These monoids are countable. For PL and Diff this follows from the fact that triangulations exist. For Top this follows from work of Cheeger and Kister [CK70].

In this paper we want to study the question whether or not these monoids are unique factorisation monoids. First we need to make clear what we mean by a unique factorisation monoid.

Definition.

- (1) Let \mathcal{M} be an abelian monoid (written multiplicatively). We say $m \in M$ is prime if m is not a unit and if it divides a product only if it divides one of the factors.
- (2) Given a monoid \mathcal{M} we denote by \mathcal{M}^* the units of \mathcal{M} . We write $\overline{\mathcal{M}} := \mathcal{M}/\mathcal{M}^*$.
- (3) Let \mathcal{M} be an abelian monoid. We denote by $\mathcal{P}(\mathcal{M})$ the set of prime elements in $\overline{\mathcal{M}}$. We say \mathcal{M} is a unique factorisation monoid if the canonical monoid morphism $\mathbb{N}^{\mathcal{P}(\mathcal{M})} \to \overline{\mathcal{M}}$ is an isomorphism.

Now in dimensions 1 and 2 we of course have $\mathcal{M}_1^{\text{Cat}} = \overline{\mathcal{M}}_1^{\text{Cat}} = \{[S^1]\}$ and $\mathcal{M}_2^{\text{Cat}} =$ $\overline{\mathcal{M}}_2^{\operatorname{Cat}} \cong \mathbb{N}$ via the genus. In particular these monoids are unique factorisation monoids. In dimension 3 there is the celebrated prime decomposition theorem:

Theorem 1.1 (Kneser-Milnor).

- The monoid M₃^{Cat} has no non-trivial units.
 The monoid M₃^{Cat} = M₃^{Cat} is a unique factorisation monoid.

The purpose of the present note is to study to what degree these statements hold in higher dimensions.

First, note that all units of $\mathcal{M}_n^{\text{Cat}}$ are homotopy spheres, as we deduce from an elementary complexity argument in Proposition 2.4 below. It now follows from various incarnations of the Poincaré conjecture imply that $\mathcal{M}_n^{\text{Top}}$ never has non-trivial units, and neither does $\mathcal{M}_n^{\text{PL}}$, except potentially if n = 4. In the smooth category the current status is the following: The only odd dimensions in which $\mathcal{M}_n^{\text{Diff}}$ has no non-trivial units are 1, 3, 5 and 61, see [HHR16, WX17]. In even dimensions greater than 5 Milnor and Kervaire construct an isomorphism $\Theta_n \cong \mathbb{S}_n/J_n$ from the group of smooth homotopy n-spheres Θ_n to the cokernel of the stable J-homomorphism $\pi_n(SO) \to S_n$, where S is the sphere spectrum. In dimensions below 61, the only even dimension where J_n is surjective are 2, 4, 6, 12 and 56. Wang and Xu recently conjectured that 1, 2, 3, possibly 4, and 5, 6, 12, 56, 61 are the only dimensions without exotic spheres [WX17].

Let us also mention that in dimension 4 neither is it known whether every homotopy sphere is a unit nor whether S^4 is the only unit in $\mathcal{M}_4^{\text{Diff}}$ (and of course these questions combine into the smooth 4-dimensional Poincaré conjecture).

Before we continue we introduce the following definitions.

Definition. Let \mathcal{M} be an abelian monoid with neutral element e.

- (1) Two elements $m, n \in \mathcal{M}$ are called associated if there is a unit $u \in \mathcal{M}^*$, such that $m = u \cdot n$.
- (2) An element m is called *irreducible*, if it is not a unit and if all its divisors are associated to either e or m.
- (3) An element a is cancellable, if ab = ac implies b = c for all elements $b, c \in \mathcal{M}$.

We make four remarks regarding these definitions.

(1) We warn the reader that for the monoids $\mathcal{M}_3^{\text{Cat}}$ our usage of "irreducible" does not conform with standard use in 3-dimensional topology. More precisely, in our language $S^1 \times S^2 \in \mathcal{M}_3^{\text{Cat}}$ is irreducible, whereas in the usual language used in 3-dimensional topology, see [He76, p. 28], the manifold $S^1 \times S^2$ is not irreducible. Fortunately [He76, Lemma 3.13] says that this is the only 3-dimensional manifold for which the two definitions of irreducibility diverge (in fact by the prime decomposition theorem our notion of irreducible 3-dimensional manifold coincides with the usual use of the term prime 3-manifold).

- (2) Let \mathcal{M} be an abelian monoid. If \mathcal{M} is a unique factorisation monoid, then every element in $\overline{\mathcal{M}}$ is cancellable.
- (3) Another warning worthy of utterance is that in general, given a monoid \mathcal{M} , neither all irreducible elements are prime, nor does a prime element need to be irreducible, unless it is also cancellable.
- (4) Finally, note, that if \mathcal{M} is a unique factorisation monoid, this does not necessarily imply that every element in \mathcal{M} is cancellable: A good example is given by non-zero integers under multiplication modulo the relation that $x \sim -x$ if $|x| \geq 2$. In this case $\overline{\mathcal{M}} \cong \mathbb{N}_{\geq 1}$ under multiplication, so \mathcal{M} is a unique factorisation monoid by prime decomposition. On the other hand we have $2 \cdot (-1) = 2 \cdot 1$ and $-1 \neq 1$. Thus we see that 2 is not cancellable.

Using a fairly simple complexity argument we obtain the following result (Corollary 2.5 below).

Proposition 1.2. Every element in $\mathcal{M}_n^{\text{Cat}}$ admits a connected sum decomposition into a homotopy sphere and irreducible manifolds.

Unless n = 4 and Cat = Diff, PL, the homotopy sphere can of course, by the resolution of the Poincaré Conjecture, be absorbed into one of the irreducible factors.

As an example of the failure of cancellation and unique factorisation consider the manifolds $\mathbb{C}P^2\#\overline{\mathbb{C}P^2}$ and $S^2\times S^2$. The intersection forms show that these manifolds are not homotopy equivalent, but it is well-known that $(S^2\times S^2)\#\mathbb{C}P^2$ and $\mathbb{C}P^2\#\overline{\mathbb{C}P^2}\#\mathbb{C}P^2$ are diffeomorphic [GS99]. This implies easily that $\mathbb{C}P^2$ is not cancellable in $\overline{\mathcal{M}}_4^{\text{Cat}}$ and thus that $\mathcal{M}_4^{\text{Cat}}$ is not a unique factorisation monoid.

The following is the main result of Section 5:

Theorem 1.3. For $n \geq 4$ the manifold $S^2 \times S^{n-2}$ is not cancellable in any of the monoids $\overline{\mathcal{M}}_n^{\operatorname{Cat}}$ and thus none of the monoids $\mathcal{M}_n^{\operatorname{Cat}}$ is a unique factorisation monoid in that range.

The proof we provide crucially involves manifolds with non-trivial fundamental groups. This leaves open the possibility that the submonoid $\overline{\mathcal{M}}_n^{\text{Cat,sc}}$ consisting of simply connected Cat-manifolds is better behaved. However, we show, for most dimensions, in Section 6 that this is still not the case:

Theorem 1.4. For $n \geq 19$, the manifold $S^5 \times S^{n-5}$ is not cancellable in any of the monoids $\overline{\mathcal{M}}_n^{\text{Cat,sc}}$ and thus $\mathcal{M}_n^{\text{Cat,sc}}$ is not a unique factorisation monoid in that range.

The bound $n \geq 19$ is by no means intrinsic for finding non-cancellative elements in $\overline{\mathcal{M}}_{n}^{\text{Cat,sc}}$; we already gave the example of $\mathbb{C}\mathrm{P}^{2} \in \overline{\mathcal{M}}_{4}^{\text{Cat}}$, and indeed by Wall's classification [Wa62] the element $S^{2n} \times S^{2n}$ is non-cancellable in $\overline{\mathcal{M}}_{4n}^{\text{Cat,hc}}$, the monoid of highly connected 4n-manifolds, once n > 1.

Interestingly, in some cases the monoids $\mathcal{M}_n^{\text{Diff},\text{hc}}$ are actually unique factorisation monoids. More precisely, by [Wa62] and [Sm62, Corollary 1.3] we have the following theorem.

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Theorem 1.5 (Smale, Wall). For $k \equiv 3, 5, 7 \mod 8$, and $k \neq 15, 31, 63$, half the rank of H_k gives an isomorphism $\mathcal{M}_{2k}^{\text{Diff},\text{hc}} \cong \mathbb{N}$. In particular, $\mathcal{M}_{2k}^{\text{Diff},\text{hc}}$ is a unique factorisation monoid in these cases.

As a final remark consider for $n \neq 4$ the exact sequence

$$0 \to \Theta_n \to \mathcal{M}_n^{\mathrm{Diff}} \to \overline{\mathcal{M}}_n^{\mathrm{Diff}} \to 0$$

of abelian monoids. In general this sequence does not admit a retraction $\mathcal{M}_n^{\mathrm{Diff}} \to \Theta_n$: It is well-known that there are smooth manifolds M for which there exists a non-trivial homotopy sphere Σ such that $M\#\Sigma\cong M$, i.e. where the inertia group of M is non-trivial, [Wi74, Theorem 1], [Ram16, Theorem 1.1]. For instance one can consider $M=\mathbb{HP}^2$. The group of homotopy 8-spheres is isomorphic to $\mathbb{Z}/2$ and equals the inertia group of \mathbb{HP}^2 . Applying a potential retraction of the above sequence to the equation $[M\#\Sigma]=[M]$ gives a contradiction as Θ_n is a group. Potentially, the above sequence might admit a splitting, but we will not investigate this further.

Organisation. The paper is organized as follows. In Section 2 we study the behaviour of complexity functions under the connected sum operation and use the results to provide the proof of Proposition 1.2 and the characterisation of the units. In Section 3 we extract some results from Wall's classification of highly-connected manifolds. In Section 4 we recall Wall's thickening operation which makes it possible to associate manifolds to CW-complexes. In Section 5 we show the existence of interesting pairs of 2-dimensional CW-complexes which allow us to prove Theorem 5.2 and 5.3. Furthermore in Section 6 we recall the construction of interesting pairs of 9-dimensional simply connected CW-complexes which leads to the proof of Theorem 1.4. In Section 7 we discuss the existence of prime manifolds in various monoids, in particular in we show that the Wu manifold W = SU(3)/SO(3) is prime in $\mathcal{M}_5^{\text{Cat,sc}}$. Finally in Section 8 we list some open problems.

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2. The connected sum operation

We recall the definition of the connected sum. Let $n \in \mathbb{N}$ and let $M, N \in \mathcal{M}_n^{\operatorname{Cat}}$ be two Cat-manifolds. Given an orientation-preserving Cat-embedding $\varphi \colon \overline{B}^n \to M$ and given an

orientation-reversing Cat-embedding $\psi \colon \overline{B}^n \to N$ we define the *connected sum* of M and N as

$$M \# N := (M \setminus \varphi(B^n)) \sqcup (N \setminus \psi(B^n)) / \varphi(P) = \psi(P) \text{ for all } P \in S^{n-1},$$

given a smooth structure (for Cat = Diff) by rounding the corners, and a piecewise linear one (for Cat = PL) by choosing appropriate triangulations that make the images of φ and ψ sub-complexes.

For Cat = Diff or PL the fact that the isomorphism type of the connected sum of two manifolds does not depend on the choice of embedding is a standard fact in (differential) topology, see e.g. [Wa16, Theorem 2.7.4] and [RS72, Disc Theorem 3.34]. The analogous statement also holds for Cat = Top, but the proof (for n > 3) is significantly harder. It follows in a relatively straightforward way from the "annulus theorem" that was proved in 1969 by Kirby [Ki69] for $n \neq 4$ and in 1982 by Quinn [Qu82, Ed84] for n = 4. We refer to [FNOP19] for more details.

It is well-known that many invariants are well-behaved under the connected sum operation. Before we state the corresponding lemma that summarizes the relevant results we introduce our notation for intersection forms and linking forms. Given a 2k-dimensional manifold W we denote by $Q_W \colon H_k(W; \mathbb{Z}) \times H_k(W; \mathbb{Z}) \to \mathbb{Z}$ the intersection form of W. Furthermore, given a (2k+1)-dimensional manifold W we denote by $lk_W \colon \text{Tors } H_k(W; \mathbb{Z}) \times \text{Tors } H_k(W; \mathbb{Z}) \to \mathbb{Q}/\mathbb{Z}$ the linking form of W.

Lemma 2.1. If M_1, \ldots, M_k are n-dimensional manifolds, then the following statements hold:

- (1) If $n \geq 3$, then $\pi_1(M_1 \# \dots \# M_k) \cong \pi_1(M_1) * \dots * \pi_1(M_k)$,
- (2) Let R be a ring. Then the cohomology ring $H^*(M_1 \# ... \# M_k; R)$ is a quotient of a subring of the product $H^*(M_1; R) \times \cdots \times H^*(M_k; R)$: First, consider the subring of this product where the elements in degree zero are in the image of the diagonal map $R \to R^k$ (recall that all M_i are connected). Then divide out the ideal generated by $(\mu_i \mu_j)$ for $1 \le i, j \le k$, where μ_i is the cohomological fundamental class of M_i ,
- (3) if n is even, then $Q_{M_1\#...\#M_k} \cong Q_{M_1} \oplus \cdots \oplus Q_{M_k}$,
- (4) if n is odd, then $lk_{M_1\#...\#M_k} \cong lk_{M_1} \oplus \cdots \oplus lk_{M_k}$.

Proof. By induction, it suffices to treat the case k=2. (1) is an elementary application of the Seifert-van Kampen theorem. (2) follows from the cofibre sequence $S^{n-1} \to M_1 \# M_2 \to M_1 \vee M_2 \to S^n$: Recall that the subring described in the statement is precisely the cohomology of $M_1 \vee M_2$. It is then easy to see that the map $M_1 \vee M_2 \to S^n$ induces an injection on $H^n(-;R)$ with image precisely $\mu_1 - \mu_2$. Statements (3) and (4) follow from (2) and the fact that the described isomorphism of rings is compatible with the Bockstein operator. \square

One can extract a crude complexity invariant from the above data, as follows. Given a finitely generated abelian group A we denote by $\operatorname{rank}(A) = \dim_{\mathbb{Q}}(A \otimes \mathbb{Q})$ its rank and we denote by t(A) the number of non-trivial elements in the torsion subgroup $\operatorname{Tors} A$. Furthermore, given a finitely generated group we denote by $d(G) \in \mathbb{N}$ the minimal number of elements in a generating set for G.

Let M be an n-dimensional topological manifold. Then M is a retract of a finite CWcomplex [Bre93, p. 538], and thus has the homotopy of a CW complex (e.g. [Ha01, Proposition A.11) and its fundamental group and the (co-) homology groups of M are finitely presented. Thus we can define the *complexity of* M as

$$c(M) := d(\pi_1(M)) + \operatorname{rank}\left(\bigoplus_{i=1}^{n-1} H_i(M; \mathbb{Z})\right) + t\left(\bigoplus_{i=1}^{n-1} H_i(M; \mathbb{Z})\right) \in \mathbb{N}.$$

The following proposition summarizes two key properties of c(M).

Proposition 2.2.

- (1) For $n \geq 3$ the complexity gives a homomorphism $\mathcal{M}_n^{\text{Cat}} \to \mathbb{N}$.
- (2) The kernel of c consists entirely of homotopy spheres.

For the proof, recall the Grushko-Neumann Theorem which is proved in most text books on combinatorial group theory, e.g. [LS77, Corollary IV.1.9].

Theorem 2.3. (Grushko-Neumann Theorem) Given any two finitely generated groups A and B we have

$$d(A*B) = d(A) + d(B).$$

Proof of Proposition 2.2. The first statement follows from Lemma 2.1 and the Grushko-Neumann Theorem 2.3. The second statement follows since by Hurewicz's theorem $\pi_n(M) \cong$ \mathbb{Z} if c(M)=0, and any generator $S^n\to M$ of this group is a homotopy equivalence by Whitehead's theorem (and the observation above, that M has the homotopy type of a CW-complex).

Proposition 2.4.

- All units of M_n^{Cat} are homotopy spheres.
 The converse to (1) holds if n ≠ 4 or if n = 4 and Cat = Top.
- (3) The neutral element is the only unit in $\mathcal{M}_n^{\text{Top}}$ and $\mathcal{M}_n^{\text{PL}}$.

By the work of Smale, Newman, Milnor and Kervaire the groups of homotopy spheres are of course relatively well understood for $n \geq 5$.

Proof.

- (1) Since units are mapped to units under homomorphisms it follows from Proposition 2.2 (2) that units are homotopy spheres.
- (2) For n < 2 the classification of n-manifolds clearly implies the converse to (1). In dimensions 3 and 4 the desired result follows straight from the Poincaré conjecture proved by Perelman and Freedman. Now let $n \geq 5$. Given an n-dimensional homotopy sphere Σ and an n-disc $D \subseteq \Sigma^n$, $(\Sigma \setminus \text{int}(D)) \times I$ with an open n+1disc removed away from the boundary is an h-cobordism between $\Sigma \# \overline{\Sigma}$ and S^n . For $n \neq 5$ the h-cobordism theorem then implies that Σ is indeed a unit. For n=5, every homotopy sphere is h-cobordant to the standard sphere, see e.g. [Ko93, Chapter X (6.3)], and hence diffeomorphic to S^5 by the h-cobordism theorem.

(3) This result follows from the resolution of the Poincaré Conjecture.

The following corollary implies in particular Proposition 1.2.

Corollary 2.5. Unless n=4 and Cat=Diff or PL, the monoid \mathcal{M}_n^{Cat} does not admit infinite divisor chains, and therefore every manifold admits a decomposition into irreducible manifolds.

Proof. An infinite divisor chain M_i gives rise to a descending sequence of natural numbers under c, which becomes stationary after index i say. But then for $j \geq i$ the elements witnessing that M_j is a summand of M_i have vanishing complexity and thus units by Proposition 2.4, so M_j is associated to M_i for all $j \geq i$.

Similar arguments also allow us to identify some irreducible elements of $\mathcal{M}_n^{\text{Cat}}$.

Corollary 2.6. The manifolds $\mathbb{R}P^{2n-1}$, $\mathbb{C}P^n$, $\mathbb{H}P^n$, $\mathbb{O}P^2$ and $S^n \times S^{k-n}$ are irreducible in the monoid $\mathcal{M}_m^{\text{Cat}}$ for any choice of Cat and appropriate dimension m, except possibly for $\mathcal{M}_4^{\text{Diff}}$.

Proof. This follows immediately from Lemma 2.1 (1) and (2).

3. Wall's work on highly connected manifolds

The possibility of prime factorisations in $\overline{\mathcal{M}}_{2k}^{\text{PL,hc}}$ and $\overline{\mathcal{M}}_{2k}^{\text{Diff,hc}}$ was studied by Wall in [Wa62, Problem 2A]. He classified such smooth manifolds in terms of their intersection form and an additional invariant $\alpha \colon H_k(M) \to \pi_k \text{BSO}(k)$, which is given by representing an element in $H_k(M)$ by an embedded sphere and taking its normal bundle. In the case of piecewise linear manifolds Wall restricts attention to manifolds that can be smoothed away from a point; for even k, the map α is then well-defined (i.e. independent of the chosen smoothing) by [Wa62, Lemma 2 & Formula (13)] and the injectivity of the stable J-homomorphism (which was not known at the time). For k odd, one furthermore has to invest the injectivity of the unstable J-homomorphism $\pi_k(\text{BSO}(k)) \to \pi_{2k-1}(S^k)$.

Theorem 3.1 (Wall). Unique factorisation in $\overline{\mathcal{M}}_{2k}^{\text{PL,hc}}$ holds only for k = 1, 3 and possibly k = 7. In addition to these cases unique factorisation in $\overline{\mathcal{M}}_{2k}^{\text{Diff,hc}}$ holds exactly for $k \equiv 3, 5, 7$ mod 8 with $k \neq 15, 31$ and possibly $k \neq 63$ (if there exists a Kervaire sphere in dimension 126).

In all cases that unique factorisation holds, the monoid in question is actually isomorphic to $\mathbb N$ via half the rank of the middle homology group, except possibly $\overline{\mathcal M}_{14}^{\mathrm{PL,hc}}$. In fact there does not seem to be a full description of $\overline{\mathcal M}_n^{\mathrm{PL,hc}}$ (or $\overline{\mathcal M}_n^{\mathrm{Top,hc}}$) in the literature. Let us remark, that the work of Kirby-Siebenmann implies $\mathcal M_n^{\mathrm{PL,hc}} \cong \mathcal M_n^{\mathrm{Top,hc}}$, once $n \geq 10$, as the obstruction to finding a PL-structure on a topological manifold M is located in $H^4(M;\mathbb Z)$, with $H^3(M;\mathbb Z)$ acting transitively on isotopy classes of PL-structures. Wall's argument also shows that unique factorisation fails in $\overline{\mathcal M}_8^{\mathrm{Top,hc}}$.

Proof. It follows from Wall's work that for $k \geq 4$ even, the monoids $\overline{\mathcal{M}}_{2k}^{\text{PL,hc}}$ and $\overline{\mathcal{M}}_{2k}^{\text{Diff,hc}}$ never admit unique factorisations; this can be seen by picking an even positive definite unimodular form, and realizing it by a (k-1)-connected 2k-dimensional manifold M with α -invariant whose values lie in $\ker(\pi_k \text{BSO}(2k) \to \pi_k \text{BSO})$; such α is uniquely determined by the intersection form by [Wa62, Lemma 2] and the computation of $\pi_k \text{BSO}(2k)$ on [Wa62, p. 171]. By [Wa62, Proposition 5], in this case a smooth realizing manifold exists whenever the signature is divisible by a certain index. Then $M\# - M \cong m(S^k \times S^k)\#\Sigma$ for some homotopy sphere Σ , where m is the rank of $H_k(M)$. But $S^k \times S^k$ cannot divide M or -M. Indeed, this follows from Lemma 2.1 (4), the fact that the intersection form of $S^k \times S^k$ is indefinite and the fact that the intersection forms of $\pm M$ are definite. See Proposition 7.2 below for a stronger statement in the case k=2.

For odd values of k there are several cases to be distinguished. To start, for k = 1, 3 and Cat = PL or k = 1, 3, 7 and Cat = Diff the monoid $\overline{\mathcal{M}}_{2k}^{\text{Cat,hc}}$ is isomorphic to \mathbb{N} via half the rank of the middle homology by [Wa62, Lemma 5].

For other odd values of $k \neq 1, 3, 7$ unique decomposition in $\mathcal{M}_{2k}^{\text{PL,hc}}$ never holds. This can be seen via the Arf-Kervaire invariant; this is the Arf invariant of a certain quadratic refinement of the intersection form. By the work of Jones and Rees and Stong [JR78, St63] any highly connected manifold of even dimension not 2, 4, 8 or 16 possesses a canonical such refinement. We proceed by taking a manifold M which is smoothable away from a point with non-trivial Arf-Kervaire invariant and then decompose M#M into manifolds with vanishing Kervaire invariant and intersection form hyperbolic of rank 2; this is possible by [Wa62, Lemmata 5 and 9] and the fact that the Arf-Kervaire invariant is additive.

For $\mathcal{M}_{2k}^{\text{Diff,hc}}$ the argument above works equally well if there exists a smooth 2k-manifold with Kervaire invariant one (which also implies the existence of an irreducible one by Corollary 2.5). This famously is the case if and only if k = 1, 3, 7, 15, 31 and possibly k = 63 [HHR16], which rules out unique factorisation in these dimensions.

For $k=3,5,7 \mod 8$ with $k\neq 3,7,15,31,63$ the monoid $\overline{\mathcal{M}}_{2k}^{\text{Diff},\text{hc}}$ is in fact isomorphic to $\mathbb N$ via half the rank of the middle homology by [Wa62, Lemma 5] (with the case $\overline{\mathcal{M}}_{126}^{\text{Diff},\text{hc}}$ being open). In contrast, for $k\equiv 1 \mod 8$ the failure of unique decomposability can be seen by considering the composite homomorphism

$$S\alpha \colon H_k(M) \xrightarrow{\alpha} \pi_k BSO(k) \longrightarrow \pi_k BSO = \mathbb{Z}/2 :$$

Wall says that a manifold is of type 0 if $S\alpha$ is non-trivial and of type 1 if $S\alpha$ is trivial, see [Wa62, p. 173, Case 5]. Note that the type is not additive but the connected sum of two manifolds has type 1 if and only if both manifolds have type 1. Hence by [Wa62, Theorem 3] we can pick any two irreducible manifolds W_0, W_1 such the invariants from [Wa62, Lemma 5] agree except that the type of W_i is i. Then $W_0 \# W_1 \cong W_0 \# W_0$ and unique decomposition fails.

Remark. Given the first part of the proof above one might wonder whether for any highly connected manifold M, whose intersection form is even, $M \# \overline{M}$ is homeomorphic to $\#^k(S^n \times$

 S^n). This is in fact not correct as the following example shows. Let M be the total space of an S^4 -bundle over S^4 with non-trivial first Pontryagin class and trivial Euler class. It is then easy to see that the intersection form of M is even. However, since the rational Pontryagin classes are homeomorphism invariants we find that $M\#\overline{M}$ is not homeomorphic to $S^4\times S^4\#S^4\times S^4$.

Cancellation in $\mathcal{M}_{2k}^{\text{PL,hc}}$ and $\mathcal{M}_{2k}^{\text{Diff,hc}}$ was also studied by Wall in [Wa62, Problem 2C].

Theorem 3.2 (Wall). Cancellation in $\overline{\mathcal{M}}_{2k}^{\text{Diff},hc}$ holds if and only if either k=1 or $k\equiv 3,5,7\mod 8$. In $\mathcal{M}_{2k}^{\text{PL},hc}$ the "only if" part still holds.

Proof. Wall's classification directly shows that $S^k \times S^k$ is not cancellable in either $\mathcal{M}_{2k}^{\mathrm{PL,hc}}$ and $\mathcal{M}_{2k}^{\mathrm{Diff,hc}}$ if k is even by an argument similar to the one above. For k=2, the failure of cancellation follows from the fact that $(S^2 \times S^2) \# \mathbb{C}\mathrm{P}^2$ and $\mathbb{C}\mathrm{P}^2 \# \mathbb{C}\mathrm{P}^2$ are diffeomorphic.

By [Wa62, Lemma 5] and the classification of almost closed n-1-connected 2n-manifolds by their n-types (see [Wa62, page 170]), for $k \equiv 3, 5, 7 \mod 8$ the monoid $\mathcal{M}_{2k}^{\text{Diff},hc}$ embeds into $\mathbb{Z} \times \mathbb{Z}/2$ via the rank and the Arf-Kervaire invariant. If $k \equiv 1 \mod 8$, $k \neq 1$, then the examples from the previous proof exhibit the failure of cancellation.

A similar analysis of $\overline{\mathcal{M}}_{2k+1}^{\text{Cat,hc}}$ can be carried out using [Wa67], but we refrain from spelling this out here.

4. Thickenings of finite CW-complexes

In this section we will see that one can associate to a finite CW-complex a smooth manifold which is unique in an appropriate sense. This procedure allows us to translate information about CW-complexes to manifolds. We will use this procedure in the proofs of Theorems 5.2, 5.3 and 1.4 in the following sections.

Convention. By a finite complex we mean a finite connected CW-complex and by a finite n-complex we mean a finite connected n-dimensional CW-complex.

4.1. **Thickenings of finite CW-complexes.** In this section we will summarize the theory of smooth thickenings of CW-complexes as developed in [Wa66a]. As is explained in [Wa66a] there is also an analogous theory of PL-thickenings.

We start out with the following definition, which is an adaptation of the definition on [Wa66a, p. 74] for our purposes.

Definition. Let X be a finite complex.

(1) A k-thickening of X is a pair (M, ϕ) where M is an oriented, smooth, compact k-dimensional manifold with trivial tangent bundle, which has the property that the inclusion induced map $\pi_1(\partial M) \to \pi_1(M)$ is an isomorphism and where $\phi \colon X \to M$ is a simple homotopy equivalence.

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(2) Two k-thickenings (M, ϕ) and (N, ψ) of X are called equivalent if there exists an orientation-preserving diffeomorphism $f: M \to N$ such that $f \circ \phi$ is homotopic to ψ .

Note that ϕ does not have to be an embedding in the definition above.

Theorem 4.1. Let X be a finite n-complex.

- (1) If k > 2n, then there exists a k-thickening of X.
- (2) If $k \geq 2n + 1$ and $k \geq 6$, then all k-thickenings of X are equivalent.

Proof. The theorem follows from [Wa66a, p. 76] using that every map from a path-connected space to \mathbb{R}^k is 1-connected.

Definition. Let $k \geq 2n + 1$ and $k \geq 6$. Let X be a finite n-complex. We denote by $N^k(X)$ the oriented diffeomorphism type of the k-dimensional thickening of X. In our notation we will not distinguish between $N^k(X)$ and any representative thereof.

For convenience we state the following example.

Lemma 4.2. If $k \ge 2n + 1$ and $k \ge 6$, then $N^k(S^n) = S^n \times B^{k-n}$.

Proposition 4.3. Let X and Y be finite complexes. We suppose that $k \geq 2\dim(X) + 1$, $k \geq 2\dim(Y) + 1$ and $k \geq 6$. If X and Y are simple homotopy equivalent, then there exists an orientation-preserving diffeomorphism from $N^k(X)$ to $N^k(Y)$.

Proof. Let $f: X \to Y$ be a simple homotopy equivalence. Let (M, ϕ) be a k-thickening for X and let (N, ψ) be a k-thickening for Y. Note that $(N, \psi \circ f)$ is a k-thickening for X. It follows from Theorem 4.1, and our dimension restrictions on k, that $N = N^k(X)$ is diffeomorphic to $M = N^k(Y)$.

Lemma 4.4. Let X and Y be finite complexes. If $k \ge 2\dim(X) + 1$, $k \ge 2\dim(Y) + 1$ and $k \ge 6$, then

$$N^k(X \vee Y) = N^k(X) \#_b N^k(Y),$$

where " $\#_b$ " denotes the boundary connected sum.

Proof. Let (M, ϕ) and (N, ψ) be k-thickenings of X and Y, respectively. After a simple homotopy we can and will assume that the the image of the wedge points under ϕ and ψ lies on the boundary of M and N. Note that $\phi \lor \psi \colon X \lor Y \to M \lor N$ is a simple homotopy equivalence and note that the inclusion $M \lor N \to M \#_b N$ is a simple homotopy equivalence. Thus we see that the map $\phi \lor \psi \colon X \lor Y \to M \#_b N$ is a simple homotopy equivalence. It follows almost immediately from Theorem 4.1 that $N^k(X \lor Y) = N^k(X) \#_b N^k(Y)$. \square

4.2. Boundaries of thickenings of finite complexes.

Definition. Let X be a finite complex and let $k \geq 2\dim(X)$ and $k \geq 5$. We write $M^k(X) := \partial N^{k+1}(X)$. Recall that $N^{k+1}(X)$ is an oriented manifold and we equip $M^k(X)$ with the corresponding orientation.

The following lemma is an immediate consequence of Lemma 4.2.

Lemma 4.5. If $k \geq 2n$ and $k \geq 5$, then $M^k(S^n) = S^n \times S^{k-n}$.

In the following proposition we summarize a few properties of $M^k(X)$.

Proposition 4.6. For $n \in \mathbb{N}$ let X and Y be finite n-complexes. Furthermore let $k \in \mathbb{N}$ with $k \geq 2n$ and $k \geq 5$.

- (1) Then $M^k(X)$ is a closed oriented k-dimensional manifold,
- (2) if X and Y are simple homotopy equivalent, then there exists an orientation-preserving diffeomorphism from $M^k(X)$ to $M^k(Y)$,
- (3) $M^{k}(X \vee Y) = M^{k}(X) \# M^{k}(Y)$, and
- (4) if $M^k(X)$ and $M^k(Y)$ are homotopy equivalent, then X and Y are homotopy equivalent.

Proof. The first statement follows immediately from the definitions, the second from Proposition 4.3 and the third is a straightforward consequence of Lemma 4.4. The fourth statement is proved in [KS84, Proposition II.1]. \Box

Let $n \in \mathbb{N}$. Furthermore let $k \in \mathbb{N}$ with $k \geq 2n$ and $k \geq 5$. By Proposition 4.6 we obtain a well-defined map

$$M^k$$
: {finite *n*-complexes}/ $\simeq_s \to \mathcal{M}_k^{\text{Diff}}$

where \simeq_s denotes simple homotopy equivalence.

We conclude this section with the following corollary, which we will make use of in the proofs of Theorems 5.2, 5.3 and 1.4 respectively.

Corollary 4.7. Let $n \in \mathbb{N}$. Furthermore let $k \in \mathbb{N}$ with $k \geq 2n$ and $k \geq 5$. Suppose that X and Y are finite complexes of dimension $\leq n$. We suppose that $X \not\simeq Y$ and $X \vee S^n \simeq_s Y \vee S^n$. Then $M^k(X) \not\simeq M^k(Y)$, but there is an orientation preserving diffeomorphism between $M^k(X) \# (S^n \times S^{k-n})$ and $M^k(Y) \# (S^n \times S^{k-n})$.

Proof. This corollary follows immediately from the four statements of Proposition 4.6. \Box

4.3. **5-dimensional thickenings.** Now let X be a finite 2-complex. By Theorem 4.1 there exists a 5-thickening of X. We can no longer conclude from Theorem 4.1 that the thickening is well-defined up to diffeomorphism. But in fact the following weaker statement holds:

Proposition 4.8. Let X be a finite 2-complex. If (M, ϕ) and (N, ψ) are 5-dimensional thickenings for X, then ∂M and ∂N are s-cobordant.

This statement is implicit in [Wa66a], see also [KS84, p. 15]. The same way that we deduced Proposition 4.3 from Theorem 4.1 we can also deduce the following proposition from Proposition 4.8.

Proposition 4.9. Let X and Y be finite 2-complexes. If X and Y are simple homotopy equivalent, then given any 5-dimensional thickenings A of X and B of Y the boundaries ∂A and ∂B are s-cobordant.

5. Finite 2-complexes, group presentations and the D2-problem

The goal of this section is to prove Theorem 1.3 from the introduction and to give a survey of the various constructions that can be used to construct examples of non-cancellation in $\mathcal{M}_n^{\mathrm{Cat}}$. We will use:

- **Lemma 5.1.** Let $n \in \mathbb{N}$. Suppose there exist n-dimensional smooth manifolds M and N which are not homotopy equivalent but such that there is r > 1, an n-dimensional smooth manifold W and an orientation preserving diffeomorphism between $M\#r\cdot W$ and $N\#r\cdot W$. Then for every Cat = Top, PL and Diff the following two statements hold:

 - The element W is not cancellable in M_n^{Cat}.
 The monoid M_n^{Cat} is not a unique factorisation monoid.

Proof. By hypothesis we know that $[M_1] \neq [M_2] \in \mathcal{M}_n^{\text{Top}}$. By Proposition 2.4 we know that $\mathcal{M}_n^{\text{Top}} = \overline{\mathcal{M}}_n^{\text{Top}}$. In particular $[M_1] \neq [M_2] \in \overline{\mathcal{M}}_n^{\text{Top}}$ and thus $[M_1] \neq [M_2] \in \overline{\mathcal{M}}_n^{\text{Cat}}$. Furthermore we know that $[M_1] + r \cdot [W] = [M_2] + r \cdot [W] \in \overline{\mathcal{M}}_n^{\text{Cat}}$. By induction we see that [W] is not cancellable in $\overline{\mathcal{M}}_n^{\text{Cat}}$. This implies that $\overline{\mathcal{M}}_n^{\text{Cat}}$ is not isomorphic to some \mathbb{N}^P , i.e. $\overline{\mathcal{M}}_n^{\mathrm{Cat}}$ is not a unique factorisation monoid, hence $\mathcal{M}_n^{\mathrm{Cat}}$ is not a unique factorisation

We will exploit this lemma with the following result:

Theorem 5.2. Let $n \in \mathbb{N}_{>5}$. Then there exist n-dimensional smooth manifolds M and N which are not homotopy equivalent but such that there is an orientation preserving diffeomorphism between $M\#(S^2\times S^{n-2})$ and $N\#(S^2\times S^{n-2})$.

A slightly weaker result is also available in dimension 4:

Theorem 5.3. There exist 4-dimensional smooth manifolds M and N which are not homotopy equivalent but such that there is an orientation preserving diffeomorphism between $M\#r\cdot(S^2\times S^2)$ and $N\#r\cdot(S^2\times S^2)$ for some r>1.

Taken together these results immediately imply Theorem 1.3 from the introduction.

5.1. **Proof of Theorem 5.2.** In this section we will provide the proof for Theorem 5.2. The key idea for finding suitable manifolds is to use Corollary 4.7. Thus our goal is to find finite 2-complexes X and Y which are not homotopy equivalent but such that $X \vee S^2$ and $Y \vee S^2$ are simple homotopy equivalent.

We begin our discussion with the following well-known construction of a finite 2-complex $X_{\mathcal{P}}$ with $\pi_1(X_{\mathcal{P}}) = G$ from a group presentation

$$\mathcal{P} = \langle x_1, \cdots, x_s \mid r_1, \cdots, r_t \rangle$$

of a finitely presented group G. This is known as the Cayley complex $X_{\mathcal{P}}$ of the presentation \mathcal{P} and has 1-skeleton a wedge of s circles, one circle for each generator x_i , with its 2-cells attached along the paths given by each relation r_i expressed as a word in the generators.

The following can be found in [Bro79], [HK93a, Theorem B]:

Theorem 5.4. If X and Y are finite 2-complexes with $\pi_1(X) \cong \pi_1(Y)$ finite and $\chi(X) = \chi(Y)$, then $X \vee S^2 \simeq_s Y \vee S^2$.

Recall that, if \mathcal{P} is a presentation of G with s generators and t relations, then the deficiency $def(\mathcal{P})$ of \mathcal{P} is s-t. The Euler characteristic of a presentation complex can be completely understood in terms of the deficiency:

Lemma 5.5. If \mathcal{P} is a group presentation, then $\chi(X_{\mathcal{P}}) = 1 - \operatorname{def}(\mathcal{P})$.

The task is therefore to find a finite group G with presentations \mathcal{P}_1 and \mathcal{P}_2 such that $X_{\mathcal{P}_1} \not\simeq X_{\mathcal{P}_2}$ and $\operatorname{def}(\mathcal{P}_1) = \operatorname{def}(\mathcal{P}_2)$. That $X_{\mathcal{P}_1} \vee S^2 \simeq_s X_{\mathcal{P}_2} \vee S^2$ would then follow automatically from Theorem 5.4.

The first examples of such presentations were found by Metzler in [Me76] in the case $\pi_1(X) = (\mathbb{Z}/p)^s$ for $s \geq 3$ odd and $p \equiv 1 \mod 4$ prime. See [HMS93, p. 297] for a convenient reference.

Theorem 5.6. For $s \geq 3$ odd, $p \equiv 1 \mod 4$ prime and $p \nmid q$, consider presentations

$$\mathcal{P}_q = \langle x_1, \dots, x_s \mid x_i^p = 1, [x_1^q, x_2] = 1, [x_i, x_j] = 1, 1 \le i < j \le s, (i, j) \ne (1, 2) \rangle$$

for the group $(\mathbb{Z}/p)^s$. Then $X_{\mathcal{P}_q} \not\simeq X_{\mathcal{P}_{q'}}$ if $q(q')^{-1}$ is not a square mod p.

Remark. The smallest case for which this is satisfied is the case p = 5, s = 3, q = 1 and q' = 2, corresponding to the group $(\mathbb{Z}/5)^3$.

To prove these complexes are not homotopy equivalent, Metzler defined the bias invariant. This is a homotopy invariant defined for all finite 2-complexes and which was later shown in [Si77], [Bro79] to be a complete invariant for finite 2-complexes with finite abelian fundamental group which led to a full (simple) homotopy classification in these cases.

We are now ready to prove Theorem 5.2.

Proof of Theorem 5.2. Let $s \geq 3$ be odd, $p \equiv 1 \mod 4$ be prime, and choose $q, q' \geq 1$ such that $p \nmid q, p \nmid q'$ and such that $q(q')^{-1}$ is not a square mod p. Let \mathcal{P}_q and \mathcal{P}'_q be the presentations for $(\mathbb{Z}/p)^s$ constructed above. Then $X_{\mathcal{P}_q} \not\simeq X_{\mathcal{P}'_q}$ by Theorem 5.6.

Since $\operatorname{def}(\mathcal{P}_q) = \operatorname{def}(\mathcal{P}'_q)$, we have $\chi(X_{\mathcal{P}_q}) = \chi(X_{\mathcal{P}'_q})$ by Lemma 5.5 and so

$$X_{\mathcal{P}_q} \vee S^2 \simeq_s X_{\mathcal{P}'_q} \vee S^2$$

are simply homotopy equivalent, by Theorem 5.4. Since $n \geq 5$ this fulfills the conditions of Corollary 4.7. Thus we see that $M = M^n(X_{\mathcal{P}_q})$ and $N = M^n(X_{\mathcal{P}_q'})$ have the desired properties.

5.2. Proof of Theorem 5.3.

Theorem 5.3. There exist 4-dimensional smooth manifolds M and N which are not homotopy equivalent but such that there is an orientation preserving diffeomorphism between $M\#r\cdot(S^2\times S^2)$ and $N\#r\cdot(S^2\times S^2)$ for some $r\geq 1$.

Proof. We use the same notation as in the proof of Theorem 5.2. By Theorem 4.1 there exist 5-dimensional thickenings A for $X_{\mathcal{P}_q}$ and B for $X_{\mathcal{P}_q'}$. We write $M = \partial A$ and $N = \partial B$.

As in Lemma 4.4 we see that $A\#_b(S^2 \times \overline{B}^3)$ is a thickening of $X_{\mathcal{P}_q} \vee S^2$ and we see that $B\#_b(S^2 \times \overline{B}^3)$ is a thickening of $X_{\mathcal{P}'_q} \vee S^2$. As in the proof of Theorem 5.2 we note that $X_{\mathcal{P}_q} \vee S^2$ is simple homotopy equivalent to $X_{\mathcal{P}'_q} \vee S^2$. Thus we obtain from Proposition 4.9 that $M\#(S^2 \times S^2)$ and $N\#(S^2 \times S^2)$ are s-cobordant. It follows from [Qu83, Theorem 1.1] that these manifolds are diffeomorphic (via an orientation presentation diffeomorphism) after stabilisation by sufficiently many copies of $S^2 \times S^2$, i.e.

$$M \# \underbrace{(S^2 \times S^2) \# r(S^2 \times S^2)}_{=(r+1) \cdot (S^2 \times S^2)} \cong_{\text{Diff}} N \# \underbrace{(S^2 \times S^2) \# r(S^2 \times S^2)}_{=(r+1) \cdot (S^2 \times S^2)}$$

for some $r \geq 0$.

We still need to show that M and N are not homotopy equivalent. Since we are now dealing with the case k=4 we cannot appeal to Proposition 4.6. But it is shown in [KS84, Theorem III.3] (see also [HK93b, Proposition 4.3]) that M and N are indeed not homotopy equivalent.

5.3. **The D2-problem.** We will now discuss a link to the work of C. T. C. Wall on the structure of finite complexes as it places the examples above into the framework of a more general conjecture.

Wall asked [Wa65] whether or not a Dn complex, i.e. a finite complex X such that $H_i(X; M) = 0$ and $H^i(X; M) = 0$ for all $i \ge n + 1$ and all finitely generated left $\mathbb{Z}[\pi_1(X)]$ -modules M, is necessarily homotopy equivalent to a finite n-complex. This was shown to be true in the case n > 2 [Wa66b, Corollary 5.1] and in the case n = 1 [St68], [Sw69]. The case n = 2 remains a major open problem and is known as Wall's D2-problem.

D2 problem. Let X be a D2 complex. Is X homotopy equivalent to a finite 2-complex?

We say that a group G has the D2-property if the D2-problem is true for all D2 complexes X with $\pi_1(X) = G$. This is relevant to the present discussion due to the following equivalent formulation.

Define an algebraic 2-complex $E = (F_*, \partial_*)$ over $\mathbb{Z}[G]$ to be a chain complex consisting of an exact sequence

$$F_2 \xrightarrow{\partial_2} F_1 \xrightarrow{\partial_1} F_0 \xrightarrow{\partial_0} \mathbb{Z} \longrightarrow 0$$

where \mathbb{Z} is the $\mathbb{Z}[G]$ -module with trivial G action and where the F_i are stably free $\mathbb{Z}[G]$ -modules, i.e. $F_i \oplus \mathbb{Z}[G]^r \cong \mathbb{Z}[G]^s$ for some $r, s \geq 0$.

For example, if X is a finite 2-complex with a choice of polarisation $\pi_1(X) \cong G$, the chain complex $C_*(\widetilde{X})$ of the universal cover is a chain complex over $\mathbb{Z}[G]$ under the deck transformation action of G on \widetilde{X} . Since the action is free, $C_i(\widetilde{X})$ is free for all $i \geq 0$ and so $C_*(\widetilde{X})$ is an algebraic 2-complex over $\mathbb{Z}[G]$. We say an algebraic 2-complex over

 $\mathbb{Z}[G]$ is geometrically realisable if it is chain homotopy equivalent to $C_*(\widetilde{X})$ for some finite 2-complex X.

The following correspondence is established in [Ni19, Theorem 1.1]:

Theorem 5.4. If G is a finitely presented group, then there is a one-to-one correspondence between polarised D2 complexes X with $\pi_1(X) \cong G$ up to polarised homotopy and algebraic 2-complexes over $\mathbb{Z}[G]$ up to chain homotopy given by $X \mapsto C_*(\widetilde{X})$.

In particular, G has the D2-property if only if every algebraic 2-complex over $\mathbb{Z}[G]$ is geometrically realisable, as was already shown in [Jo03] and [Ma09].

One can thus search for further examples of finite 2-complexes X and Y for which $X \not\simeq Y$ and $X \vee S^2 \simeq_s Y \vee S^2$ by studying the chain homotopy types of algebraic 2-complexes over $\mathbb{Z}[G]$ for G having the D2-property. A nice explicit example is given in [MP19]:

Proposition 5.5. Consider the following presentations for the generalised quaternion group Q_{28} :

$$\mathcal{P}_1 = \langle x, y \mid x^7 = y^2, yxy^{-1} = x^{-1} \rangle, \quad \mathcal{P}_2 = \langle x, y \mid x^7 = y^2, y^{-1}xyx^2 = x^3y^{-1}x^2y \rangle.$$

Then $X_{\mathcal{P}_1}$ and $X_{\mathcal{P}_2}$ are not homotopy equivalent but have $\chi(X_{\mathcal{P}_1}) = \chi(X_{\mathcal{P}_2}) = 1$. In particular, $X_{\mathcal{P}_1} \vee S^2 \simeq_s X_{\mathcal{P}_2} \vee S^2$, so for all $k \geq 5$, $M^k(X_{\mathcal{P}_1}) \not\simeq M^k(X_{\mathcal{P}_2})$ and

$$M^k(X_{\mathcal{P}_1}) \# (S^2 \times S^{k-2}) \cong M^k(X_{\mathcal{P}_2}) \# (S^2 \times S^{k-2}).$$

The group Q_{28} in fact has the D2-property by [Ni19, Theorem 7.7] (contrary to a previous conjecture [BW05]). Furthermore, as an application of a recent cancellation result for $\mathbb{Z}[G]$ modules [Ni18], it can be shown [Ni19, Theorem A] that any group G with 4-periodic Tate cohomology admits D2-complexes with the same Euler characteristic which are not (polarised) homotopy equivalent if and only if G has at least three irreducible quaternionic representations. This makes these groups natural candidates for further non-cancellation phenomena among thickenings.

Examples of groups with 4-periodic Tate cohomology and at least three irreducible quaternionic representations include the generalised quaternion groups

$$Q_{4n} = \langle x, y \mid x^n = y^2, yxy^{-1} = x^{-1} \rangle$$

for $n \ge 6$ (of which the example in the proposition above corresponds to the case n = 7) and the groups $Q(2^n a; b, c)$ which appear in Milnor's list [Mi57] for n = 3 or $n \ge 5$ and a, b, c odd coprime with $c \ne 1$ [Ni19, Theorem 5.10].

6. Simply-connected complexes

Theorem 1.4 follows from the next theorem together with Lemma 5.1.

Theorem 6.1. Let $k \geq 19$. There exist simply connected k-dimensional smooth manifolds M and N which are not homotopy equivalent but such that there is an orientation preserving diffeomorphism between $M\#(S^5\times S^{k-5})$ and $N\#(S^5\times S^{k-5})$.

Remark. The bound $n \ge 19$ is an artifact of our method, and we expect similar examples to exist in all dimensions ≥ 5 . The strict analogue of Theorem 6.1 cannot, however, hold in dimension 4: It follows from Donaldson's Theorem [Do83, Theorem A], the classification of indefinite intersection forms and Freedman's' Theorem [Fr82, Theorem 1.5] that any two 4-dimensional simply connected *smooth* manifolds that become diffeomorphic after the connected sum with $r \cdot (S^2 \times S^2)$ where $r \geq 1$, are already homeomorphic.

The key idea is once again to use Theorem 4.7. But this time we will use mapping cones to produce useful CW-complexes. We introduce the following notation.

Notation. Let $\alpha \colon S^{m-1} \to S^n$ be a map. We denote its mapping cone by C_{α} . Note that C_{α} has a CW-structure with three cells, one in dimension 0, one in dimension n and one in dimension m.

The following theorem is a practical machine for constructing interesting CW-complexes, see [Hi67, Theorem 3.1 & Corollary 3.3]. Note that [Hi67, Mo73] contain many other examples of CW-complexes exhibiting similar phenomena.

Theorem 6.2. Let $m, n \in \mathbb{N}_{\geq 3}$ and let $[\alpha], [\beta] \in \pi_{m-1}(S^n)$ be elements of finite order. If $[\alpha]$ is in the image of the suspension homomorphism $\pi_{m-2}(S^{n-1}) \to \pi_{m-1}(S^n)$, then the following two statements hold:

- (1) $C_{\alpha} \simeq C_{\beta}$ if and only if $[\beta] = \pm [\alpha] \in \pi_{m-1}(S^n)$. (2) If $[\alpha]$ and $[\beta]$ generate the same subgroup of $\pi_{m-1}(S^n)$, then $C_{\alpha} \vee S^m \simeq C_{\beta} \vee S^m$.

Proof. We prove (1) first and may assume that $m \ge n+2$, else the statements become easy (if m-1=n) or trivial (if m-1 < n). The "if" part is obvious. To see the "only if" we consider a homotopy equivalence $f: C_{\alpha} \simeq C_{\beta}$. Such a homotopy equivalence induces an isomorphism on π_n , so that we find that there is a homotopy commutative diagram

$$S^n \longrightarrow C_{\alpha}$$

$$\downarrow^{\pm 1} \qquad \downarrow^f$$

$$S^n \longrightarrow C_{\beta}$$

in which the horizontal maps are the canonical maps. We denote by F_{α} and F_{β} the homotopy fibres of these horizontal maps. Since the composites $S^{m-1} \to S^n \to C$ $(C = C_\alpha, C_\beta)$ are null homotopic, we obtain a canonical homotopy commutative diagram

$$S^{m-1} \longrightarrow F_{\alpha} \longrightarrow S^{n} \longrightarrow C_{\alpha}$$

$$\downarrow^{\pm 1} \qquad \downarrow_{\bar{f}} \qquad \downarrow^{\pm 1} \qquad \downarrow^{f}$$

$$S^{m-1} \longrightarrow F_{\beta} \longrightarrow S^{n} \longrightarrow C_{\beta}$$

where \bar{f} is the induced homotopy equivalence of homotopy fibres. The relative Hurewicz theorem for the maps $S^n \to C_{\alpha}$ and $S^n \to C_{\beta}$, together with $m \ge n+2$, implies that the two maps $S^{m-1} \to F_{\alpha}$ and $S^{m-1} \to F_{\beta}$ induce isomorphisms on π_{m-1} so one obtains a dashed arrow making the diagrams commute up to homotopy. We deduce that there is a homotopy commutative diagram

$$S^{m-1} \xrightarrow{\alpha} S^n$$

$$\downarrow^{\pm 1} \qquad \downarrow^{\pm 1}$$

$$S^{m-1} \xrightarrow{\beta} S^n$$

Now we use that α is a suspension, so that post composition of α with a degree -1 map is just $-\alpha$ in $\pi_{m-1}(S^n)$.

To see (2) we consider the space $C_{\alpha,\beta} = C_{\alpha} \cup_{\beta} D^m$. Here, we view β as the composite $S^{m-1} \to S^n \to C_{\alpha}$. We note that $C_{\alpha,\beta} \cong C_{\beta,\alpha}$. By assumption β is contained in the subgroup generated by α . This implies the composite $S^{m-1} \to S^n \to C_{\alpha}$ is null homotopic so that $C_{\alpha,\beta} \simeq C_{\alpha} \vee S^m$. We thus obtain

$$C_{\alpha} \vee S^m \simeq C_{\alpha,\beta} \simeq C_{\beta,\alpha} \simeq C_{\beta} \vee S^m$$

where the very last equivalence follows from the assumption that α is also contained in the subgroup generated by β .

Proof of Theorem 6.1. Let $k \geq 19$. Note that there exists an isomorphism $\pi_8(S^5) \xrightarrow{\cong} \mathbb{Z}/24$. It follows from the Freudenthal suspension theorem, see [Fr38][Whi78, Theorem VII.7.13], that the suspension homomorphism $\Sigma \colon \pi_7(S^4) \to \pi_8(S^5)$ is an epimorphism. Let μ be a generator of $\pi_8(S^5)$. We then consider the elements $\alpha = \mu$ and $\beta = 5 \cdot \mu$ in $\pi_8(S^5)$. As an aside we remark that one can specify an explicit generator as follows, namely one can show that the suspension homomorphism $\Sigma \colon \pi_7(S^4) \to \pi_8(S^5)$ agrees with the map $\mathbb{Z}\{\nu\} \oplus \mathbb{Z}/12 \to \mathbb{Z}/24$ which sends ν to a generator and is the canonical inclusion on $\mathbb{Z}/12$. Here, $\nu \colon S^7 \to S^4$ denotes the Hopf map. Thus $\Sigma \nu$ is an explicit generator of $\pi_8(S^5)$.

It follows from Theorem 6.2 that $C_{\alpha} \not\simeq C_{\beta}$ and that $C_{\alpha} \vee S^5 \simeq C_{\beta} \vee S^5$. Since these CW-complexes are simply connected, we have in fact $C_{\alpha} \vee S^5 \simeq_s C_{\beta} \vee S^5$. The theorem is now an immediate consequence of Theorem 4.7 applied to the 9-dimensional CW-complexes $X = C_{\alpha}$, $Y = C_{\beta}$, n = 5 and the given k.

Remark. It is known that the suspension homomorphism $\pi_6(S^3) \to \pi_7(S^4)$ is a monomorphism and that the image is isomorphic to $\mathbb{Z}/12$. Using this fact one can lower the dimension in Theorem 6.1 to 17. Since we are not aware of a good reference for the aforementioned fact we leave the theorem as it is.

7. Prime manifolds

Let $\mathcal{M}_n^{\text{Cat},\text{sc}}$ denote the submonoid of $\mathcal{M}_n^{\text{Cat}}$ of simply connected manifolds. The question we want to ask in the present section is whether there exist prime manifolds in higher dimensions at all. While we do not know the answer, we will show that the Wu-manifold is prime among simply connected 5-folds.

As a warm-up recall that on the one hand the manifolds $\mathbb{C}P^n$, $\overline{\mathbb{C}P^n}$ and $S^n \times S^n$ are all irreducible by Corollary 2.6, except possibly for n=2 and $\mathrm{Cat}=\mathrm{Diff}$ or PL . On

the other, as mentioned before, $(S^2 \times S^2) \# \mathbb{C}P^2$ and $\mathbb{C}P^2 \# \overline{\mathbb{C}P}^2 \# \mathbb{C}P^2$ are well-known to be diffeomorphic, see e.g. [GS99, p. 151] for details. These two observations imply immediately that none of $S^2 \times S^2$, $\mathbb{C}P^2$ or $\overline{\mathbb{C}P^2}$ are prime in $\mathcal{M}_4^{\text{Cat,sc}}$ or $\mathcal{M}_4^{\text{Cat}}$. In higher dimension we recorded similar behaviour for $S^{2k} \times S^{2k}$ in the first lines of the proof of Theorem 3.1.

Corollary 7.1. Let $n \in \mathbb{N}_{\geq 2}$ be even. Then $S^n \times S^n$ is not prime in $\mathcal{M}_{2n}^{\text{Cat,sc}}$ or $\mathcal{M}_{2n}^{\text{Cat}}$.

By contrast, for some odd n Theorem 3.1 also implies that $S^n \times S^n$ is prime in $\mathcal{M}_{2n}^{\text{Cat,hc}}$. We do not know whether this extends to all simply-connected manifolds.

Proposition 7.2. The monoid $\mathcal{M}_4^{\text{Cat,sc}}$ has no prime elements. In particular, no simply-connected manifold is prime in $\mathcal{M}_4^{\text{Cat}}$.

Proof. By Freedman's classification, two simply connected, topological 4-manifolds are homeomorphic if and only if they have isomorphic intersection forms and the same Kirby-Siebenmann invariant. Hence for any such manifolds M there exist $m, m', n, n' \in \mathbb{N}, \epsilon \in \{0,1\}$ such that $M\#m\mathbb{C}P^2\#m'\overline{\mathbb{C}P^2}\#\epsilon * \mathbb{C}P^2$ and $n\mathbb{C}P^2\#n'\overline{\mathbb{C}P^2}$ are homeomorphic. Similarly, if M is assumed smooth (or piecewise linear), it follows from the above and work of Wall [Wa64] (see also [Sc05, p. 149]) that there exist m, m', n, n' and $k \in \mathbb{N}$ such that $M\#m\mathbb{C}P^2\#m'\overline{\mathbb{C}P^2}\#k(S^2\times S^2)$ and $n\mathbb{C}P^2\#n'\overline{\mathbb{C}P^2}\#k(S^2\times S^2)$ are diffeomorphic. Using the fact that $(S^2\times S^2)\#\mathbb{C}P^2$ and $\mathbb{C}P^2\#\overline{\mathbb{C}P^2}\#\mathbb{C}P^2$ are diffeomorphic we can arrange, at the cost of increasing m, m', n, n' that k = 0. In either case, if M is prime it follows that M is either $\mathbb{C}P^2$ or $\overline{\mathbb{C}P^2}$, since the latter manifolds are irreducible. But we observed above that they are not prime.

Turning to dimension 5, recall that the Wu manifold SU(3)/SO(3) is a simply connected, non-spin 5-manifold with $H_2(W; \mathbb{Z}) = \mathbb{Z}/2$.

Proposition 7.3. The Wu-manifold W = SU(3)/SO(3) is prime in $\mathcal{M}_5^{Cat,sc}$.

We will use Barden's classification of smooth simply connected 5-manifolds [Ba65, Cr11]. There are two invariants which are important to us:

- (1) $H_2(M; \mathbb{Z})$ with its torsion subgroup $TH_2(M; \mathbb{Z})$. The group $TH_2(M; \mathbb{Z})$ is always isomorphic to $A \oplus A \oplus C$ where C is either trivial or cyclic of order 2 and A is some finite abelian group.
- (2) The height $h(M) \in \mathbb{N}_0 \cup \{\infty\}$: If M is spin, one sets h(M) = 0. If M is non-spin, $w_2 \colon H_2(M; \mathbb{Z}) \to \mathbb{Z}/2$ is a surjection. It is an algebraic fact that for any surjection $w \colon H \to \mathbb{Z}/2$ where H is a finitely generated abelian group, there exists an isomorphism $H \cong H' \oplus \mathbb{Z}/2^{\ell}$ such that w corresponds to the composite $H' \oplus \mathbb{Z}/2^{\ell} \to \mathbb{Z}/2^{\ell} \to \mathbb{Z}/2^{\ell} \to \mathbb{Z}/2$. Here, ℓ is allowed to be ∞ , where we (ab)use the notation that $\mathbb{Z}/2^{\infty} = \mathbb{Z}$. The number ℓ is then defined to be the height h(M) of M. Note that here we follow the wording of [Ba65], an equivalent definition of the height is given in [Cr11].

Barden's classification says that the map $\mathcal{M}_5^{\text{Diff,sc}} \to \text{Ab} \times (\mathbb{N}_0 \cup \{\infty\})$ sending a manifold M to the pair $(H_2(M;\mathbb{Z}), h(M))$ is injective, and that the following two statements are equivalent:

- (1) a pair (B, k) lies in the image,
- (2) the torsion subgroup TB is of the form $A \oplus A \oplus \mathbb{Z}/2$ if k = 1 and it is of the form $A \oplus A$ otherwise.

Moreover, the above map restricts to a bijection between spin manifolds and the pairs (B,0) where $TB \cong A \oplus A$.

Lemma 7.4. (1)
$$h(M\#N) = \begin{cases} h(M) & \text{if } h(N) = 0, \\ h(N) & \text{if } h(M) = 0, \\ \min(h(M), h(N)) & \text{if } h(M) \neq 0 \neq h(N) \end{cases}$$

- (2) h(M#N) = 1 if and only if h(M) = 1 or h(N) = 1.
- (3) The Wu manifold W divides M if and only if h(M) = 1.

Proof. To see (1), we observe that M#N is spin if and only if both M and N are spin. Furthermore, it is clear from the above definition of the height that if M is spin, then h(M#N) = h(N). To see the case where both M and N are not spin, it suffices to argue that if $\ell \leq k$, and we consider the map $\mathbb{Z}/2^k \oplus \mathbb{Z}/2^\ell \to \mathbb{Z}/2$ which is the sum of the canonical projections, then there is an automorphism of $\mathbb{Z}/2^k \oplus \mathbb{Z}/2^\ell$ such that this map corresponds to the map $\mathbb{Z}/2^k \oplus \mathbb{Z}/\ell^\ell \to \mathbb{Z}/2^\ell \to \mathbb{Z}/2$: The automorphism is given by sending (1,0) to (1,1) and (0,1) to (0,1). Statement (2) is then an immediate consequence of (1). To see (3) we first assume that W divides M, i.e. that M is diffeomorphic to W#L. We find that h(W#L) = 1 by (1). Conversely, suppose that h(M) = 1. By Barden's classification, we know that the torsion subgroup of $H_2(M;\mathbb{Z})$ is of the form $A \oplus A \oplus \mathbb{Z}/2$, in particular $H_2(M;\mathbb{Z}) \cong \mathbb{Z}^n \oplus A \oplus A \oplus \mathbb{Z}/2$ for some $n \geq 0$. Again, by the classification, there exists a spin manifold L with $H_2(L;\mathbb{Z}) \cong \mathbb{Z}^n \oplus A \oplus A$. We find that W#L and M have isomorphic $H_2(-;\mathbb{Z})$ and both height 1, so they are diffeomorphic, and thus W divides M.

Proof of Proposition 7.3. First we consider $\mathcal{M}_5^{\mathrm{PL,sc}} = \mathcal{M}_5^{\mathrm{Diff,sc}}$. If W divides M # N, then h(M # N) = 1, we may then without loss of generality assume that h(M) = 1, so that W divides M.

Every simply connected topological 5-manifold admits a smooth structure, since the Kirby-Siebenmann invariant lies in $H^4(M; \mathbb{Z}/2) \cong H_1(M; \mathbb{Z}/2) = 0$. As the invariants in Barden's classification are homotopy invariants, it follows that $\mathcal{M}_5^{\text{Top,sc}} = \mathcal{M}_5^{\text{Diff,sc}}$. In particular, the Wu manifold is also prime in $\mathcal{M}_5^{\text{Top,sc}}$.

8. Questions and problems

We conclude this paper with a few questions and challenges.

Question 8.1.

- (1) Let $n \geq 4$. Does there exist a non-trivial cancellable element in any of the monoids
- (1) Let n ≥ 1. Does there exist a non-trivial cancellable element in any of the monoids M_n^{Top}, M_n^{PL}, M_n^{Diff}?
 (2) Let n ≥ 6. Does there exist a non-trivial cancellable element in any of the monoids M_n^{Top,sc}, M_n^{PL,sc}, M_n^{Diff,sc}?

Question 8.2.

- (1) Let $n \geq 4$. Does there exist a prime element in any of the monoids $\mathcal{M}_n^{\text{Top}}$, $\mathcal{M}_n^{\text{PL}}$,
- (2) Let $n \geq 6$. Does there exist a prime element in any of the monoids $\mathcal{M}_n^{\text{Top,sc}}$, $\mathcal{M}_n^{\text{PL,sc}}$?

In light of Theorem 1.4 and the remark on page 17 we also raise the following related question.

Question 8.3. For which $n \in 5, ..., 16$ is $\mathcal{M}_n^{\text{Cat,sc}}$ a unique factorisation monoid?

The following question arises naturally from Proposition 7.3.

Question 8.4. Is the Wu manifold prime in $\mathcal{M}_5^{\text{Top}}$ or $\mathcal{M}_5^{\text{Diff}}$?

Throughout the paper we worked mostly with simply connected and highly connected manifolds. It is reasonable to ask what is happening at the end of the spectrum, namely when we restrict ourselves to aspherical manifolds.

Question 8.5. In any of the three categories Top, PL and Diff, is the monoid generated by aspherical manifolds a unique decomposition factorization monoid for n > 4?

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